

# **Plumbing and Heating Exemption Guidance**

**Version 2.1 (September 2024)**

Date/Version	Change Details	Section
V1.2 May 2024	Claim number amended to 831	Table 1
V2.0 September 2024	Added guidance for 9289	Table 5
V2.1 September 2024	Corrected guidance for 9289	Table 5

## Introduction

This document supports centres to make correct exemption decisions for enrolled candidates with prior achievements that can be credited towards City and Guilds' current Plumbing & Heating qualifications.

Curriculum delivery staff and exam departments should consult the tables produced when claiming candidate achievement and identify the assessments that need to be completed.

These requirements are in addition to the normal processes a centre must follow for recognition of prior learning (RPL). City & Guilds Policy and guidance on RPL can be found in the City & Guilds Quality Assurance Standards.

If, after the RPL process, a candidate does not demonstrate the knowledge, understanding and skills required, they should undertake a personalised learning programme, leading to the relevant and specified qualification assessments required.

This document details what prior learning can be used for exemptions in 6189, 9189 and 9289.

### 9189 – Level 3 Diploma in Plumbing and Domestic Heating Apprenticeship Standard

If RPL is to be used to count towards the 9189 qualification, then centres must register candidates on Walled Garden on 9189-94/95/96/97 depending on the pathway chosen. (restrictions apply).

**Tables 1, 2 and 3** identify the 9189 assessments that candidates with City & Guilds 6035, 8202 and 6189 qualifications are exempt from. Other awarding organisations equivalent qualifications are also accepted provided they are current, authentic and valid.

If there are more than **five** years between certification of the prior achievement and registration onto the 9189 Level 3 Level 3 Diploma in Plumbing and Domestic Heating, then qualifications are not considered current and therefore cannot be used for exemptions. Certification of qualifications older than this 5-year period are not accepted.

If candidates have partially completed qualifications, or equivalent qualifications from other Awarding Organisations, then learning on the 9189 programme may be reduced depending on the candidate's prior learning. However, all phased assessments are still required.

If candidates have completed other permutations or legacy qualifications not included in the tables below, then centres should contact City & Guilds to discuss how this credit can be transferred.

### 9289 – Level 3 Diploma in Plumbing and Domestic Heating Apprenticeship Standard

If RPL is to be used to count towards the 9289 qualification, then centres must register candidates on Walled Garden on 9289-91/92/93 depending on the pathway chosen.

**Table 5** identifies the 9289 assessments that candidates with City & Guilds qualifications may be exempt from.

A Skill Scan **must** be completed by the assessor to provide evidence that skills are current before claiming exemptions.

If there are more than **five** years between certification of the prior achievement and registration onto the 9289 Level 3 Level 3 Diploma in Plumbing and Domestic Heating, then qualifications

are **not** considered current and therefore cannot be used for exemptions. Certification of qualifications older than this 5-year period are not accepted.

If candidates have partially completed qualifications, or equivalent qualifications from other Awarding Organisations, then learning on the 9289 programme may be reduced depending on the candidate's prior learning. However, all phased assessments are still required.

### **Skills Scan/Action Plan**

Whenever an exemption is granted, centres can capture the decision, associated evidence and further assessment requirements on the Skills Scan document in **Appendix 1**.

This pro forma provides a record that centres have confirmed the suitability for exemption.

In some cases, an assessor may deem the candidate suitable for a reduction in learning but not sufficient for unit assessment exemption. The document can be used to help identify learning needs.

All judgements made by an assessor must be reinforced with auditable evidence, such as copies of the candidates' certificates.

**Note:** Copies of certificates used for exemption must be in the apprentice's portfolio for quality assurance purposes.

## Table 1: 9189 Exemptions

**Register on 9189-94/95/96/97.** Once registered, centres should use proxies to claim exemptions for e-volve multiple choice tests.

Proxy numbers and the relevant assignment number are given in the table below.

**Note:** Skill Scan **must** be completed to provide evidence that skills are current before claiming exemptions

**Note:** Qualification must be no older than 5 years at the time of registration for the purposes of assessment exemption

Completed <b>Level 2 6035 or 6189 or 8202</b> (or other AO equivalent with skills scan): <b>Exempt</b> from the below assessments	Completed <b>Level 2 and 3 6035 or 8202</b> (or other AO equivalent with skills scan): <b>Exempt</b> from the below assessments
<b>001</b> <i>Health and Safety Systems</i> Claim 801	<b>001</b> <i>Health and Safety Systems</i> Claim 801
<b>011</b> <i>Phase 1 Multiple Choice Test</i> Claim 811	<b>011</b> <i>Phase 1 Multiple Choice Test</i> Claim 811
<b>012</b> <i>Phase 1 Practical Assignment</i> Claim 012	<b>012</b> Phase 1 Practical Assignment Claim 012
<b>022</b> <i>Phase 2 Practical Assignment</i> <b>Note:</b> Install A Direct Unvented Hot Water Cylinder task <b>must be completed</b> before claiming the assessment below Claim 022	<b>021</b> <i>Phase 2 Multiple Choice Test</i> Claim 821
<b>002</b> <i>Work-log (core)</i> <b>Learners who have completed a 6189 level 2 will also be exempt from learning outcomes 1-3 including associated direct observations (1-4) from the City &amp; Guilds Plumbing &amp; Domestic Heating log book</b>	<b>022</b> <i>Phase 2 Practical Assignment</i> Claim 022
	<b>031</b> <i>Phase 3 Multiple Choice Test</i> Claim 831

**Table 2: 6189 Exemptions from 8202**

**Register on 6189-90 or 91 for level 2; 6189-92 or 93 for level 3.** Once registered, centres should use proxies to claim exemptions for e-volve multiple choice tests. Proxy numbers and the relevant assignment number are given in the table below.

Completed <b>Level 2 8202</b> (or other AO equivalent with skills scan): <b>Exempt</b> from the below assessments	Completed <b>Level 3 8202</b> (or other AO equivalent with skills scan): <b>Exempt</b> from the below assessments
<p><b>6189-001/201 e-volve on-line test and practical</b> <i>Understand and carry out safe working practices in building services engineering</i> Claim 6189-401 and 201</p>	<p><b>6189-301 e-volve on-line test</b> <i>Understand how to organise resources within BSE</i> Claim 6189-603</p>
<p><b>6189-004 e-volve on-line test</b> <i>Understand how to apply scientific principles within mechanical services engineering</i> Claim 6189-404</p>	<p><b>6189-302 Practical</b> <i>Understand and apply domestic cold water system installation, commissioning, service and maintenance techniques</i> Claim 302</p> <p><b>6189-012 e-volve on-line test</b> <i>Candidates either sit the evolve on-line test 6189-012, or claim proxy 412 if they hold 3345-20 (Certificate in water supply (water fittings) water regulations 1999)</i></p>
<p><b>6189-005/205 e-volve on-line test and Practical</b> <i>Understand and carry out site preparation, and pipework fabrication techniques for domestic plumbing and heating systems</i> Claim 6189-405 and 205</p>	<p><b>6189-303 Practical</b> <i>Understand and apply domestic hot water system installation, commissioning, service and maintenance techniques</i> Claim 6189-423 and 303</p> <p><b>6189-023 e-volve on-line test</b> <i>Candidates either sit the evolve on-line test 6189-023, or claim proxy 423 if they hold 3345-30 (Level 3 Award in the Installation, Commissioning and Safety Aspects of Hot Water Systems for Domestic Use in Accordance with UK Building Regulations)</i></p>
<p><b>6189-006/206 e-volve on-line test and Practical</b> <i>Understand and apply domestic cold water system installation and maintenance techniques</i> Claim 6189-406 and 206</p>	<p><b>6189-026/344 e-volve on-line test and practical</b> <i>Understand and apply domestic sanitation system installation, commissioning, service and maintenance techniques</i> Claim 6189-426 and 344</p>
<p><b>6189 007/207 e-volve on-line test and Practical</b> <i>Understand and apply domestic hot water system installation and maintenance techniques</i> Claim 6189-407 and 207</p>	
<p><b>6189 008/208 e-volve test and Practical</b> <i>Understand and apply domestic central heating system installation and maintenance techniques</i> Claim 6189-408 and 208</p>	
<p><b>6189 009/209 e-volve test and Practical</b> <i>Understand and apply domestic rainwater system installation and maintenance techniques</i></p>	

<i>Claim 6189-409 and 209</i>	
<b>6189-010/210 e-volve on-line test Practical</b> <i>Understand and apply domestic above ground drainage system installation and maintenance</i> <i>Claim 6189-410 and 210</i>	

### Table 3 6189 Exemptions from 6035

Register on 6189-90 or 91 for level 2; 6189-92 or 93 for level 3. Once registered, centres should use proxies to claim exemptions for e-evolve multiple choice tests. Proxy numbers and the relevant assignment number are given in the table below.

Completed Level 2 6035 (or other AO equivalent with skills scan): <b>Exempt</b> from the below assessments	Completed Level 3 6035 (or other AO equivalent with skills scan): <b>Exempt</b> from the below assessments
<p><b>6189-001/201 e-evolve on-line test and Practical</b>  <i>Understand and carry out safe working practices in building services engineering</i>            Claim 6189-401 and 201</p>	<p><b>6189-302 Practical</b>  <b>Note Learners must complete assignment tasks CWS 1 and CWS 2 before claiming 302</b></p> <p><b>6189-303 Practical</b>  <i>Understand and apply domestic hot water system installation, commissioning, service and maintenance techniques</i>            Claim 6189-423 and 303</p>
<p><b>6189-002 e-evolve on-line test</b>  <i>Understand how to communicate with others within building services engineering</i>            Claim 6189-402</p>	<p><b>6189-023 e-evolve on-line test</b>  <i>Candidates either sit the evolve on-line test 6189-023, or claim proxy 423 if they hold 3345-30 (Level 3 Award in the Installation, Commissioning and Safety Aspects of Hot Water Systems for Domestic Use in Accordance with UK Building Regulations)</i></p>
<p><b>6189-004 e-evolve on-line test</b>  <i>Understand how to apply scientific principles within mechanical services engineering</i>            Claim 6189-404</p>	<p><b>6189-024/304 e-evolve on-line test and Practical</b>  <i>Understand and apply domestic central heating system installation, commissioning, service and maintenance techniques</i>            Claim 6189-424 and 304</p>
<p><b>6189-005/205 e-evolve on-line test and Practical</b>  <i>Understand and carry out site preparation, and pipework fabrication techniques for domestic plumbing and heating systems</i>            Claim 6189-405 and 205</p>	<p><b>6189-026/344 e-evolve on-line test and Practical</b>  <i>Understand and apply domestic sanitation system installation, commissioning, service and maintenance techniques</i>            Claim 6189-426 and 344</p>
<p><b>6189-006/206 e-evolve on-line test and Practical</b>  <i>Understand and apply domestic cold water system installation and maintenance techniques</i>            Claim 6189-406 and 206</p>	
<p><b>6189 007/207 e-evolve on-line test and Practical</b>  <i>Understand and apply domestic hot water system installation and maintenance techniques</i>            Claim 6189-407 and 207</p>	
<p><b>6189 008/208 e-evolve test and Practical</b>  <i>Understand and apply domestic central heating system installation and maintenance techniques</i>            Claim 6189-408 and 208</p>	
<p><b>6189 009/209 e-evolve test and Practical</b></p>	



<p><i>Understand and apply domestic rainwater system installation and maintenance techniques</i>  <i>Claim 6189-409 and 209</i></p>	
<p><b>6189-010/210 e-volve on-line test Practical</b>  <i>Understand and apply domestic above ground drainage system installation and maintenance</i>  <i>Claim 6189-410 and 210</i></p>	

## Table 4 6189 Exemptions from 6129

**Register on 6189-90 or 91 for level 2; 6189-92 or 93 for level 3.** Once registered, centres should use proxies to claim exemptions for e-volve multiple choice tests. Proxy numbers and the relevant assignment number are given in the table below.

**Note:** Skill Scan must be completed to provide evidence that skills are current before claiming exemptions

Completed <b>Level 2 6129</b> (or other AO equivalent with skills scan): <b>Exempt</b> from the below assessments	Completed <b>Level 3 6129</b> (or other AO equivalent with skills scan): <b>Exempt</b> from the below assessments
<p><b>6189-001/201 e-volve on-line test and Practical</b> <i>Understand and carry out safe working practices in building services engineering</i> Claim 6189-401 and 201</p>	<p><b>6189-302 Practical</b> <b>Note Learners must complete assignment tasks CWS 1 and CWS 2 before claiming 302</b> <i>Understand and apply domestic cold water system installation, commissioning, service and maintenance techniques</i> Claim 6189-302</p> <p>Candidates either sit the evolve on-line test 6189-012, or claim proxy 412 if they hold 3345-20 (Certificate in water supply (water fittings) water regulations 1999)</p>
<p><b>6189-002 e-volve on-line test</b> <i>Understand how to communicate with others within building services engineering</i> Claim 6189-402</p>	<p><b>6189-023/303 e-volve on-line test Practical</b> <i>Understand and apply domestic hot water system installation, commissioning, service and maintenance techniques</i> Claim 6189-423 and 303</p> <p>Candidates either sit the evolve on-line test 6189-023, or claim proxy 423 if they hold 3345-30 (Level 3 Award in the Installation, Commissioning and Safety Aspects of Hot Water Systems for Domestic Use in Accordance with UK Building Regulations)</p>
<p><b>6189-003 e-volve on-line test</b> <i>Understand how to apply environmental protection measures within BSE</i> Claim 6189-403</p>	<p><b>6189-024/304 e-volve on-line test and Practical</b> <i>Understand and apply domestic central heating system installation, commissioning, service and maintenance techniques</i> Claim 6189-424 and 304</p>
<p><b>6189-004 e-volve on-line test</b> <i>Understand how to apply scientific principles within mechanical services engineering</i> Claim 6189-404</p>	<p><b>6189-026/344 e-volve on-line test and Practical</b> <i>Understand and apply domestic sanitation system installation, commissioning, service and maintenance techniques</i> Claim 6189-426 and 344</p>
<p><b>6189-005/205 e-volve on-line test and Practical</b> <i>Understand and carry out site preparation, and pipework fabrication techniques for domestic plumbing and heating systems</i> Claim 6189-405 and 205</p>	

<p><b>6189-006/206 e-volve on-line test and Practical</b>  <i>Understand and apply domestic cold water system installation and maintenance techniques</i>  <i>Claim 6189-406 and 206</i></p>	
<p><b>6189 007/207 e-volve on-line test and Practical</b>  <i>Understand and apply domestic hot water system installation and maintenance techniques</i>  <i>Claim 6189-407 and 207</i></p>	
<p><b>6189 008/208 e-volve test and Practical</b>  <i>Understand and apply domestic central heating system installation and maintenance techniques</i>  <i>Claim 6189-408 and 208</i></p>	
<p><b>6189 009/209 e-volve test and Practical</b>  <i>Understand and apply domestic rainwater system installation and maintenance techniques</i>  <i>Claim 6189-409 and 209</i></p>	
<p><b>6189-010/210 e-volve on-line test Practical</b>  <i>Understand and apply domestic above ground drainage system installation and maintenance</i>  <i>Claim 6189-410 and 210</i></p>	

## Table 5: 9289 Exemptions

Register candidates on 9289-91/92/93 depending on the pathway chosen.

Centres must use proxies (8xx units) to claim exemptions.

Proxy numbers and the relevant assignment number are given in the table below.

### Notes:

- Skill Scan **must** be completed to provide evidence that skills are current before claiming exemptions.
- Centre must be confident that the candidate has the required understanding and skills **at the time the exemption is applied**.
- Qualification must be no older than 5 years at the time of registration for the purposes of assessment exemption.

Completed: Level 3 9189 Could be exempt from the following assessments:	Completed: Level 2 6035-02 or 6189 or 8202-25 Could be exempt from the following assessments:	Completed: Level 2 6035-02 <u>and</u> Level 3 6035-03, or Level 2 8202-25 <u>and</u> Level 3 8202-35 Could be exempt from the following assessments:
001 Health and Safety Systems Multiple choice questions Claim 801	001 Health and Safety Systems Multiple choice questions Claim 801	001 Health and Safety Systems Multiple choice questions Claim 801
011 Phase 1 Multiple choice questions Claim 811	011 Phase 1 Multiple choice questions Claim 811	011 Phase 1 Multiple choice questions Claim 811
012 Phase 1 Practical assignment Claim 812	012 Phase 1 Practical assignment Claim 812	012 Phase 1 Practical assignment Claim 812
021 Phase 2 Multiple choice questions Claim 821		021 Phase 2 Multiple choice questions Claim 821
022 Phase 2 Practical assignment Claim 822	022 Phase 2 Practical assignment Candidate must pass 9289-022 <i>Install a direct unvented hot water cylinder</i> task in order to claim 822	022 Phase 2 Practical assignment Claim 822
031 Phase 3 Multiple choice test Claim 831		031 Phase 3 Multiple choice test Claim 831
032 Phase 3 Practical assignment Candidate must pass 9289-032 <i>Harvesting rainwater and greywater reuse</i> task in order to claim 832.		
002 Work log Claim 802	002 Work log (L2 6189 only) Claim 802	002 Work log (L2 6189 only) Claim 802

## Appendix 1 Exemplar:

Learning Skill Scan/Action Plan: completed by assessor and retained for auditable records including evidence.

Exemplar text in red.

Assessor name	<i>E. Hanley</i>	Candidate name	<i>S. Burgess</i>
Centre No	<i>12345</i>	Registration No	<i>54321</i>
Previously Achieved qualification/s including awarding organisation (summary)		Qualification completion date / within 5 years? Yes/No	
<i>Completed 6189-11 – require 9189 City &amp; Guilds</i>		<i>1.10.2018 Yes</i>	

Learner Prior Related Experience	Learner current role/activities
<i>Completed 6189 course at City College. Worked in industry for 2 years installing plumbing and heating systems. Good level 2 understanding.</i>	<i>Works in the plumbing and heating industry installing full heating systems, boilers, gas systems and all related plumbing systems.</i>

Unit or phases required to achieve new qualification	Learning required (or prior learning was completed) / Notes
<i>Phase 2 evolve assessment Unvented practical installation from assessment pack 9189</i>	<i>Yes/No Some prior learning completed, reduction in learning in accordance with requirements of phase 2</i>
<i>Phase 3 evolve and practical</i>	<i>Yes/No Learner has site experience and good basic knowledge</i>
<i>Phase 4 evolve and practical</i>	<i>Yes/No</i>

Use additional sheets and notes where required

Assessor signature	<i>E. Hanley</i>	Learner signature	<i>S. Burgess</i>	Date	<i>1.06.2019</i>
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## Appendix 1:

Learning Skill Scan/Action Plan: completed by assessor and retained for auditable records including evidence. Centres can produce their own template as required or use the template below.

Assessor name		Candidate name	
Centre No		Candidate No	
Previously Achieved qualification/s including awarding organisation (summary)			Qualification completion date / within 5 years? Yes/No

Learner Prior Related Experience	Learner current role/activities

Unit or phases required to achieve new qualification	Learning required (or prior learning was completed) / Notes

Use additional sheets and notes where required

Assessor signature		Learner signature		Date	
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